12106/04

PTO/SB/21 (04-04)

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### TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number     | 10/634,468      |  |
|------------------------|-----------------|--|
| Filing Date            | August 5, 2003  |  |
| First Named Inventor   | H. Gene Hawkins |  |
| Art Unit               | 3728            |  |
| Examiner Name          | To Be Assigned  |  |
| Attorney Docket Number | 5490-000316     |  |

| ENCLOSURES (check all that apply)          |                   |   |   |   |   |  |
|--|-------------------|---|---|---|---|--|
| Fee Transmittal F                          | Form              | Drawing(s)  |   |   | er Allowance Communication to chnology Center (TC)  |  |
| ☐ Fee Attached                             | l                 | Licensing-re  | elated Papers                                 |   | peal Communication to Board of peals and Interferences  |  |
| Amendment / Rep                            | oly               | Petition  |   |   | peal Communication to TC peal Notice, Brief, Reply Brief)                                       |  |
| After Final                                |                   |   | Convert to a<br>Application                   | Pro   | oprietary Information   |  |
| Affidavits/dec                             | laration(s)       |   | ttorney, Revocation<br>Correspondence Address | ☐ Sta                                       | atus Letter   |  |
| Extension of Time                          | e Request         | Terminal Di   | isclaimer                                     | Other Enclosure(s) (please identify below): |   |  |
| Express Abandonment Request                |                   | Request for Refund  CD, Number of CD(s)   |   |   | 1449 Form (1 sheet); two (2)<br>Foreign Patent Documents; one<br>(1) Other Document; and return |  |
| Information Disclosure Statement           |                   |   |   |   | postcard  |  |
| Certified Copy of Document(s)              | Priority          | Remarks  The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed. |   |   |   |  |
| Response to Miss Incomplete Applic         |                   |   | ACCOUNT NO. 08-0750.                          | A dupiic                                    | ate copy of this sheet is enclosed.   |  |
| Response to Parts under 3 1.52 or 1.53     |                   |   |   |   |   |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                   |   |   |   |   |  |
| Firm<br><i>or</i><br>Individual name       | Harness, Dickey & |   | Attorney Name Reg. No. 38,043                 |   | Reg. No.  |  |
| Signature                                  | Theel             | c, 3,2  |   |   |   |  |
| Date                                       | 0.                | e, 3,2  | 004   |   |   |  |
|  |                   | F071510 4 5 5 6   |   |   |   |  |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| Typed or printed name | Richard W. Warner | Express Mail<br>Label No. | EV 570 163 551 US (12/3/2004) |  |  |
|-----------------------|-------------------|---------------------------|-------------------------------|--|--|
| Signature             | They wing         | Date                      | Duc. 3, 2004                  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/634,468

Filing Date:

August 5, 2003

Applicant:

H. Gene Hawkins

Group Art Unit:

3728

Examiner:

To Be Assigned

Title:

METHOD AND APPARATUS FOR USE OF A VACUUM

PACKAGE FOR ALLOGRAFT MATERIAL

Attorney Docket:

5490-000316

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. <u>COPIES</u>

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

### U.S. Serial Number

## U.S. Filing Date

| copies of the U.S. patents or Uon the attached Form 1449 a  | J.S. patent applicat<br>are enclosed pursu<br>atent documents o   | ing filed after June 30, 2003, no<br>ion publications which are listed<br>ant to the waiver of 37 C.F.R.<br>r non-patent literature listed on  |
|---|---|--|
| States. A copy of the Internat information. The documents I on the attached Form-1449 for any patent resulting from this from the US, EPO, or JPO see | tional Search Reportisted on the Internator consideration by application. If the I arch authorities, co USPTO under the | ne National Phase in the United it is attached for the Examiner's ational Search Report are listed the Examiner and for listing on international Search Report was pies of these references should it trilateral agreement and are polication. (MPEP 1893.03(g)) |
| CONCISE EXPLANATION OF  | THE RELEVANCE   | (check <u>at least</u> one box)  |
| A. X Except as may be indicated other information are in the En   | cated below in (B), a<br>glish language (cor  | all of the patents, publications or acise explanation not required).   |
| B A concise explanation other information listed that is C.F.R. § 1.98(a)(3)):  | on of the relevance<br>not in the English   | of each patent, publication or language is as follows (see 37  |
| 1See the attache counterpart foreig 2English translatio 3Other:   | n application.  | office communication from a  |
| CThe following additional consideration.  | onal information is   | provided for the Examiner's  |
| CROSS REFERENCE TO RE   | <u>LATED APPLICATI</u>  | ON(S)  |
| contain(s) subject matter that  | t may be related toon(s) to the Exa   | wing co-pending application(s) o the present application. By miner's attention, Applicant(s) f 35 U.S.C. § 122.  |
| Serial No.  | Filing Date   | Art Unit   |

III.

IV.

#### V. THIS IDS IS BEING FILED UNDER

# A. X 37 C.F.R. § 1.97(b): (check only one box) 1. \_\_\_\_ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. 2. \_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. 3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). 4. \_\_\_\_ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. \_\_\_\_37 C.F.R. § 1.97(c): (check <u>only</u> one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. \_\_\_\_No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. \_\_\_See the certification below. No fee is required. C. \_\_\_\_37 C.F.R. § 1.97(d): after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. 1. \_\_\_\_See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

| VI.   | CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)   |
|-------|---|
|       | The undersigned hereby certifies that:  |
|       | A. X each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or   |
|       | B no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).  |
|       | CSome of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. |
| VII.  | STATEMENT UNDER 37 CFR 1.704(d)   |
|       | The undersigned hereby states that:   |
|       | each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.  |
| VIII. | PAYMENT OF FEES (check only one box)  |
|       | A A check in the amount of \$180.00 is enclosed for the above-identified fee.   |
|       | BPlease charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.   |

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

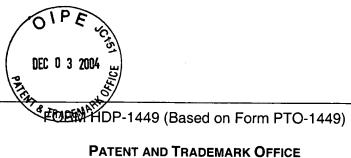
Respectfully submitted,

Dated: 12.3 2004

Richard W. Warner

Reg. No. 38,043

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600



### PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

| ATTORNEY DOCKET No.    | SERIAL NO. |  |  |
|------------------------|------------|--|--|
| 5490-000316 10/634,468 |            |  |  |
| APPLICANT              |            |  |  |
| H. Gene Hawkins        |            |  |  |
| FILING DATE            | GROUP      |  |  |
| August 5, 2003         | 3728       |  |  |

| U.S. PATENT DOCUMENTS |                        |                    |         |               |                    |                                 |
|-----------------------|------------------------|--------------------|---------|---------------|--------------------|---------------------------------|
| Ref.<br>Desig.        | Examiner's<br>Initials | Document<br>Number | Date    | Name          | Class/<br>Subclass | (If appropriate)<br>Filing Date |
| 1.                    |                        | 6,406,175          | 06/2002 | Marino        |                    |                                 |
| 2.                    |                        | 6,648,133          | 11/2003 | Smith, et al. |                    |                                 |

| FOREIGN PATENT DOCUMENTS |                        |                    |         |         |                    |                    |         |
|--------------------------|------------------------|--------------------|---------|---------|--------------------|--------------------|---------|
| Ref.<br>Desig.           | Examiner's<br>Initials | Document<br>Number | Date    | Country | Class/<br>Subclass | Translation<br>Yes | n<br>No |
| 1.                       |                        | WO01/08630         | 02/2001 | PCT     | •                  |                    |         |
| 2.                       |                        | WO02/39946         | 05/2002 | PCT     |                    |                    |         |

| OTHE           | OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) |   |  |  |  |
|----------------|--|---|--|--|--|
| Ref.<br>Desig. | Examiner's Initials  |   |  |  |  |
| 1.             |  | Partial European Search Report for EP 04 25 4705. |  |  |  |

Examiner:

Date Considered: